

**STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

05 Civ. 5231 (RJS)

ECF CASE

**AMERINDO INVESTMENT ADVISORS INC.,
AMERINDO INVESTMENT ADVISORS, INC.,
AMERINDO ADVISORS UK LIMITED,
AMERINDO MANAGEMENT INC.,
AMERINDO TECHNOLOGY GROWTH FUND, INC.,
AMERINDO TECHNOLOGY GROWTH FUND II, INC.,
TECHNO RAQUIA, S.A.,
ALBERTO W. VILAR, and
GARY ALAN TANAKA,**

Defendants.

**CBIZ ACCOUNTING, TAX & ADVISORY OF NEW YORK, LLC AND CBIZ
ACCOUNTING TAX & ADVISORY OF SAN DIEGO, LLC, AS FINANCIAL ADVISOR
TO THE RECEIVER OF AMERINDO INVESTMENT ADVISORS INC. *et. al.*,
FIRST INTERIM APPLICATION FOR COMPENSATION AND
REIMBURSEMENT OF EXPENSES**

CBIZ Accounting Tax & Advisory of New York LLC (“CBIZ NY”) and CBIZ Accounting Tax & Advisory of San Diego LLC (“CBIZ SD”, collectively “CBIZ” or “Consultant” or “Financial Advisor”), the Court approved financial advisor to the Receiver in the above-captioned case, hereby submits this First Interim Application (the “Application”) for compensation for services rendered in the amount of **\$139,915.05¹** and reimbursement of expenses in the amount of **\$124.83 for the period July 11, 2013 through December 31, 2013** (the “Compensation Period”), and respectfully states as follows:

¹ The fees are discounted pursuant to the Court approved Consultant Agreement, in which CBIZ has agreed to a voluntary reduction of 30% on all fees associated with the work performed. Further, the fees include an additional voluntary reduction of \$8,465.00 which was agreed to with the Securities Exchange Commission.

PROCEDURAL BACKGROUND

1. On October 17, 2012, the Court entered an order appointing the Receiver and empowered him “to investigate and determine the value of investor assets that have been seized or frozen pursuant to the forfeiture order in the [related] criminal case, to consider what actions can and should be taken to avoid dissipation of those assets, and to submit a report to the Court regarding his findings and recommendations. Upon receiving his report, the Court will determine whether Mr. Gazes should be empowered to take broader action, such as managing the assets and beginning a claims process for investors.”

2. On March 14, 2013, the Court entered an order in the related criminal case, *United States of America v. Alberto William Vilar and Gary Tanaka*, S3 05 Cr. 621 (RJS), modifying its previously entered Post-Conviction Restraining Order to authorize the withdrawal of \$50,000.00 from a restrained account to provide for the Receiver’s compensation (the “Compensation Order”).

3. On May 30, 2013, the Receiver filed his Initial Report and Recommendations (“R&R”).

4. On June 20, 2013, the Court signed two orders (together, the “Claims and Distribution Orders”) adopting the R&R and directing the Receiver to “begin the process of establishing a distribution fund and a claims and interim distribution procedure” as set forth in the R&R.

5. On July 19, 2013, the Receiver filed his First Status Report which Report was “so ordered” by the Court on July 30, 2013. Among other things, the Report established (a) August

6, 2013 as the deadline for the Receiver to publish notice of the proof of claims Bar Date in the Financial Times and (b) September 20, 2013 as the Bar Date to file proofs of claim.²

6. On September 30, 2013, the Court ordered that all objections to filed proofs of claim be submitted by November 8, 2013.

7. On October 2, 2013, the Court entered an order authorizing the Receiver to retain CBIZ Accounting and Tax Advisory of New York LLC and CBIZ Accounting and Tax Advisory of San Diego LLC (together “CBIZ”) as financial advisor, *nunc pro tunc* to July 11, 2013.

8. On October 4, 2013, the Receiver filed his Second Status Report along with claims register setting forth a list of all proofs of claim received prior to the expiration of the Bar Date. The Second Status Report was “so ordered” by the Court on October 28, 2013.

CASE STATUS

9. Upon his appointment, the Receiver set out to determine the feasibility of establishing a claims and distribution process for the benefit of the Defendants’ investors. This involved a preliminary valuation and disposition of assets as well as meeting with many of the major parties in interest including the criminal Defendants³ to establish a claims process.

10. Following the Court’s adoption of the R&R and the filing of his First Status Report, the Receiver gave notice of the Bar Date, communicated with numerous potential claimants, reviewed all filed proofs of claim, and compiled and filed the claims register.

11. Simultaneously with the foregoing, the Receiver established a Distribution Fund, which consists of the cash balances transferred from J.P. Morgan accounts in the approximate sum of \$31,000,000 (as of December 31, 2013). In addition, the Receiver worked with J.P.

² Capitalized terms not defined herein shall have the meanings accorded such terms in the R&R and/or First Status Report.

³ Although the defendants’ did not enter into a consensual agreement the Receiver adopted and utilized the protocol set forth in a proposed agreement including the exhibits for the distribution process such as the protocol for the interim distribution, and the Notice of Bar Date and proof of claim forms.

Morgan to cause the transfer of the four (4) Amerindo cash accounts transferred to accounts with the Receiver being the sole signatory thereof. The Receiver also began the process securing the turnover of funds held in certain Miscellaneous Accounts as well as funds held by the Bahamian Liquidator for the Amerindo Internet Growth Fund in the Cayman Islands. Copies of the most recent J.P. Morgan Account Statements are attached to this Application along with the Standardized Fund Accounting Report for the Compensation Period as Exhibits "A".

CERTIFICATION PURSUANT TO SEC BILLING INSTRUCTIONS

12. To the best of the Consultant's knowledge, all requested fees and expenses are true and accurate and comply with the SEC Receivership Billing Instructions⁴.

13. The requested fees⁵ are based on the following fee schedule:

Initial	Name	Title	Rate	Time	Amount
ED	Esther Duval	Managing Director	\$ 695.00	13.3	\$ 9,243.50
SG	Steven Golden	Managing Director - Tax	\$ 650.00	1.2	780.00
BR	Brian Ryniker	Managing Director	\$ 575.00	77.0	44,275.00
RWN	Robert Neumann	Senior Manager	\$ 410.00	61.3	25,133.00
GS	Gennady Spivak	Associate	\$ 315.00	315.3	99,319.50
CS	Catherine Sokol	Associate	\$ 225.00	110.1	24,772.50
AP	Anthony Pirro	Associate	\$ 175.00	46.8	8,190.00
RH	Robert Hung	Associate	\$ 215.00	1.2	258.00
				626.2	211,971.50
		Voluntary Reduction of 30%			(63,591.45)
		Voluntary Reduction agreed to with the SEC			(8,465.00)
				626.2	\$ 139,915.05

14. Applicant respectfully submits that the requested fees are very reasonable given the deep hourly fee discounts. Applicant further respectfully submits that the services rendered were necessary and appropriate, and commensurate with the skill and experience required for such services. Applicant respectfully refers the SEC and the Court to the time records attached hereto as

⁴ CBIZ has provided the SEC with a copy of the instant Interim Application and attachments as required under the SEC Billing Instructions.

⁵ The fees are discounted pursuant to the Court approved Consultant Agreement, in which CBIZ has agreed to a voluntary reduction of 30% on all fees associated with the work performed. Further, the fees include an additional voluntary reduction of \$8,465.00 which was agreed to with the Securities Exchange Commission.

Exhibit "B" for a detail account of such services.

**SUMMARY OF SERVICES RENDERED BY THE CONSULTANT
DURING THE COMPENSATION PERIOD**

15. CBIZ has maintained contemporaneous records of the day-to-day services and the time expended for such services for which compensation is sought herein, which records are maintained in the ordinary course of CBIZ's business and are annexed hereto as Exhibit "B". A summary of services rendered by project category are set forth in paragraphs "16" through "18" below. The time records detail the services rendered. This summary is not intended to incorporate each and every activity but rather summarize those activities.

16. **Case Administration**

During the Compensation Period, CBIZ reviewed case dockets, filings, and the Receivers plans for the estate. CBIZ conducted third party research to indentify all relevant parties associated with this case, including relationships between the Defendants, affiliations with other companies and subsidiaries, individuals and insiders associated with the Defendants, case background and updates, and timeline of pertinent events in the Defendants cases to obtain understanding of Defendants' business plans, operations and investment instruments used in operating their advisory companies. Review and comment on various SEC company and fund filings of the Defendants, including company financial statements, proxy statements, and other forms to determine relationships between the various related companies and insiders as well as to locate any additional potential assets of the Defendants. CBIZ consulted with the Receiver and his Counsel to discuss work plans related to potential asset recoveries and claims administration, including available and liquid assets, priced and un-priced securities held in various accounts, as well as claims and distributions process. Additionally, CBIZ prepared and revised

correspondences concerning the CBIZ retention papers and affidavit, as well as responded to issues raised by the Defendants in the engagement of CBIZ.

17. Asset Analysis and Recovery

During the Compensation Period, CBIZ assisted the Receiver in maintaining updated records of known and potential assets of the estate, including funds and investments maintained in the bank accounts held by the Receiver. CBIZ conducted various discussions and conference calls with representative at JP Morgan, including inquiries related to historical information of assets, understanding of restrictions, marketable value, and potentially liquidation value. CBIZ reviewed certain historical bank statements provided by several claimants in support of their claim. CBIZ conducted research for the Receiver related to escheated accounts, additional funds held by other entities, and the tax consequences of the transfer of restricted stocks. CBIZ established procedures and developed a process for claiming escheated funds, including the preparation of forms and applications to the State of California and New York. CBIZ reviewed, prepared and revised Standardized Fund Accounting Report for September 2013 as well as maintain and prepared a roll forward of activity to December 2013. CBIZ reviewed and prepared summary analyses of banking activity per the available bank statements during various periods 1998 through 2008.

18. Claims Administration and Objections

CBIZ conducted a detailed review, scheduling and analysis of the proof of claims provided by more than 30 claimants. The review and analysis of the claims and support include the evaluation of the accuracy and completeness of the claim forms, comment on additional and/or missing information that would be required to validate the claims, and in order to determine valuation. Additionally, CBIZ prepared, revised and updated an analysis of each proof of claim, which included a summary of all transactions based on support provided for the

claimants for potential objections to amounts claimed. Support included but was not limited to all correspondence from and to the Amerindo entities, account summaries and statements, cancelled checks, bank statements and any other evidence of the initial investment, additional funds invested, dividends and distributions received, and the transfer of funds to related parties. CBIZ created timelines of claimants' accounts and fund values. CBIZ prepared for and attended meetings and participated in teleconferences with the Receiver to discuss the status of claims review analyses, potential distribution methodologies, calculations of claims pools, and next steps for contacting claimants.

CONCLUSION

19. CBIZ respectfully submits that this request for compensation and reimbursement of expenses is reasonable and that all services rendered on which this request is based have been consistent with and in furtherance of the services as set forth in the Consultant Agreement and retention order of the Court.

New York, New York
June 17, 2014



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Managing Director
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Amerindo Investment Advisors, Inc. et. al.

Professional Time Summary

July 11, 2013 through December 31, 2013

Initial	Name	Title	Rate	Time	Amount
ED	Esther Duval	Managing Director	\$ 695.00	13.3	\$ 9,243.50
SG	Steven Golden	Managing Director - Tax	\$ 650.00	1.2	780.00
BR	Brian Ryniker	Managing Director	\$ 575.00	77.0	44,275.00
RWN	Robert Neumann	Senior Manager	\$ 410.00	61.3	25,133.00
GS	Gennady Spivak	Associate	\$ 315.00	315.3	99,319.50
CS	Catherine Sokol	Associate	\$ 225.00	110.1	24,772.50
AP	Anthony Pirro	Associate	\$ 175.00	46.8	8,190.00
RH	Robert Hung	Associate	\$ 215.00	1.2	258.00
				626.2	211,971.50
		Voluntary Reduction of 30%			(63,591.45)
		Voluntary Reduction per discussions with SEC			(8,465.00)
				626.2	\$ 139,915.05

Amerindo Investment Advisors, Inc. et. al.
 Employee Time Detail Summary by Category & Individual
 July 11, 2013 through December 31, 2013

Project Category	Name	Time	Fees
Case Administration - General	Esther Duval	5.8	\$ 4,031.00
	Steven Golden	1.2	780.00
	Brian Ryniker	10.1	\$ 5,807.50
	Gennady Spivak	34.5	10,867.50
	Anthony Pirro	25.6	4,480.00
Case Administration - General Total		77.2	\$ 25,966.00
 Claims	 Esther Duval	 5.1	 \$ 3,544.50
	Brian Ryniker	56.4	\$ 32,430.00
	Robert Neumann	55.0	22,550.00
	Gennady Spivak	234.5	73,867.50
	Catherine Sokol	77.7	17,482.50
	Robert Hung	1.2	258.00
	Anthony Pirro	17.0	2,975.00
Claims Total		446.9	\$ 153,107.50
 Asset Analysis and Recovery	 Esther Duval	 2.4	 \$ 1,668.00
	Brian Ryniker	10.5	6,037.50
	Robert Neumann	6.3	2,583.00
	Gennady Spivak	46.3	\$ 14,584.50
	Catherine Sokol	32.4	7,290.00
	Anthony Pirro	4.2	735.00
Asset Analysis and Recovery Total		102.1	\$ 32,898.00
		626.2	\$ 211,971.50

Amerindo Investment Advisors, Inc. et. al.
 Employee Time Detail
 July 11, 2013 through December 31, 2013

Date	Initial	Project Category	Explanation of Work Performed	Rate	Time	Fees
7/11/2013	BR	Claims	Review and revise claim notice and requested form	\$ 575.00	0.4	\$ 230.00
7/12/2013	GS	Case Administration - General	Review SEC filings and case background	\$ 315.00	1.5	472.50
	BR	Asset Analysis and Recovery	Conduct public records search for a EIN number for any Amerindo entity	\$ 315.00	0.7	220.50
7/15/2013	GS	Case Administration - General	Conduct search for TIN for Amerindo	\$ 575.00	0.2	115.00
			Discussion and emails with Receiver re: case background and issues re: Receiver's duties in the case	\$ 315.00	0.5	157.50
			Begin review of court docket for case background, prior resolutions in civil and criminal cases and review of bankruptcy docket discovered for a related entity (Amerindo Internet Growth Fund Limited) and reviewed for potential affiliates, related entities, and other assets.	\$ 315.00	1.6	504.00
			Begin to identify the relevant parties in the SEC case and their relationships, including other related bankruptcy and civil cases	\$ 315.00	1.2	378.00
7/16/2013	BR	Asset Analysis and Recovery	Understanding of issues regarding distributions and cash accounts	\$ 575.00	0.6	345.00
	ED	Case Administration - General	Review case pleadings for case background and current status of various investigations to provide Receiver guidance on potential next steps	\$ 695.00	2.5	1,737.50
	GS	Case Administration - General	Online research on the insiders of the Debtor, Amerindo and related entities, insider allegations and convictions and other relevant items to the case	\$ 315.00	2.2	693.00
			Review court docket and various orders re: seized assets, original complaint by the SEC, criminal case outcome and convictions of the insiders, etc	\$ 315.00	1.7	535.50
			Prepare summary of research on Amerindo, its affiliates and insiders to directors for discussion points with the Receiver, including certain financial reports	\$ 315.00	1.1	346.50
			Discussions with working group and tax professional on case background and issues to address with the Receiver, including engagement, setting up master account and responsibilities and duties of the Receiver	\$ 315.00	0.5	157.50
	BR	Claims	Review and comment on the proof of claim and other available documents	\$ 575.00	1.7	977.50
		Case Administration - General	Review and comment on the amended complaint and other relevant court filings to provide information to Receiver on availability of claims and next steps in asset recoveries	\$ 575.00	2.1	1,207.50
	AP	Case Administration - General	Conduct third-party research on the multiple Debtor names, affiliations and other related individuals and entities to obtain case background and status of relevant investigations, including obtaining company financials	\$ 175.00	2.4	420.00
			Begin to prepare comprehensive listing and understanding of the Amerindo entities as well investment instruments used by the companies	\$ 175.00	2.3	402.50
			Begin to prepare timeline of major case events, including other related case backgrounds (civil, bankruptcy, SEC)	\$ 175.00	2.2	385.00
7/17/2013	GS	Case Administration - General	Review various SEC filings, including quarterly and annual reports, disclosure statements, proxy statements and other financial reports for background on Amerindo related entities and their relationships	\$ 315.00	2.2	693.00
			Call with tax professional re: case background and issues to discuss with the Receiver, including various potential tax compliance issues	\$ 315.00	0.6	189.00
	BR	Case Administration - General	Discussion with S. Golden re: tax concerns with distribution accounts	\$ 575.00	0.4	230.00
			Review SEC documents for case background and current status of investigations to identify potential affiliates and related entities and to identify additional potential assets to recover to discuss with Receiver	\$ 575.00	2.8	1,610.00
	AP	Asset Analysis and Recovery	Discussion with Receiver re: banking	\$ 575.00	0.9	517.50
		Case Administration - General	Research and locate company financials for the Defendants	\$ 175.00	2.4	420.00
			Research and locate company financials for the Defendant's related entities and relationships with the Defendants	\$ 175.00	1.8	315.00
	SG	Case Administration - General	Review case status and court documents to identify potential tax issues with the Receivership	\$ 650.00	0.6	390.00
			Conference call with Receiver re: case status	\$ 650.00	0.4	260.00
7/18/2013	GS	Case Administration - General	Prepare summary of findings and draft organizational chart	\$ 315.00	1.2	378.00
		Asset Analysis and Recovery	Review letters to the Court re: disputes and actions re: forfeited assets	\$ 315.00	0.7	220.50
			Prepare reconciliation of JP Morgan accounts and holdings to June 2013 statements provided by Receiver	\$ 315.00	0.5	157.50
			Update Schedule A to Receiver containing estimated account balances as of the most recent bank statements provided	\$ 315.00	0.3	94.50
	BR	Asset Analysis and Recovery	Review bank statements and related investment listing	\$ 575.00	0.8	460.00
	AP	Case Administration - General	Continue to prepare an organizational chart for the Defendants and their related entities to understand potential interactions between the entities	\$ 175.00	1.7	297.50
			Follow-up research of the Defendants, the various affiliates and investment instruments used in their business	\$ 175.00	2.6	455.00
7/19/2013	GS	Case Administration - General	Follow-up review of SEC financials to identify potential additional assets and other related entities with their relationships to each other	\$ 315.00	0.8	252.00
			Update comprehensive summary of related parties, including affiliates, insiders and other potential related parties	\$ 315.00	0.7	220.50
		Asset Analysis and Recovery	Review and comment on the draft organization chart	\$ 315.00	0.4	126.00
			Review court filings re: actions with the forfeited assets	\$ 315.00	0.6	189.00

Amerindo Investment Advisors, Inc. et. al.
 Employee Time Detail
 July 11, 2013 through December 31, 2013

Date	Initial	Project Category	Explanation of Work Performed	Rate	Time	Fees
7/20/2013	BR AP	Case Administration - General	Review filings re: Receiver's duties and responsibilities and security funds to be liquidated and distributed	\$ 315.00	0.4	126.00
			Review CRIS account requirements and discuss cost benefit	\$ 575.00	0.3	172.50
			Update the organizational chart of the Defendants, affiliates, insiders and relevant individuals to obtain understanding of Amerindo enterprise	\$ 175.00	2.9	507.50
			Conduct third-party research of the Defendant's subsidiaries, affiliates and relationships between each, including potential transfer of assets between them	\$ 175.00	2.8	490.00
7/22/2013	GS	Case Administration - General	Conduct third-party research on investment vehicles used by Amerindo, purpose and function of the vehicles and entities involved in the investments	\$ 315.00	1.9	598.50
7/23/2013	GS	Case Administration - General	Prepare supplements to the Amerindo case summary and identify additional parties in interests, including the various known investors	\$ 315.00	1.1	346.50
			Prepare updates to the case timeline of events from case inception to most recent case status	\$ 315.00	0.6	189.00
			Follow-up research on insiders and defendants in the civil and criminal case and including potential assets noted in the various proceedings	\$ 315.00	1.9	598.50
7/24/2013	GS	Asset Analysis and Recovery	Discussion with working group on next step in case, including bank account consolidation, obtain TIN numbers for known accounts, and review of investments held in the known accounts	\$ 315.00	0.6	189.00
			Call with Counsel for JPMorgan to obtain TIN numbers for known accounts and reinstate old TIN numbers	\$ 315.00	0.4	126.00
			Update on discussion with Counsel for JP Morgan re: banking and security information	\$ 575.00	0.6	345.00
			Discussion with the Receiver re: request for banking and security information from JP Morgan	\$ 575.00	0.5	287.50
7/25/2013	AP	Case Administration - General	Create updated organizational chart with additional entities and related individuals noted in third-party research	\$ 175.00	1.7	297.50
			Asset Analysis and Recovery	\$ 175.00	1.3	227.50
			Conduct preliminary research on tradable equities per JP Morgan bank statement holdings	\$ 175.00	2.9	507.50
			Review, comment and summarize 2nd amended complaint against Amerindo and its owners re: scheme used to defraud investor and relationships between each of the Amerindo entities. Discuss same with Receiver.	\$ 315.00	1.8	567.00
7/26/2013	GS	Asset Analysis and Recovery	Call with tax partner to discuss potential tax issues with consolidation of bank accounts of Amerindo	\$ 315.00	0.6	189.00
			Prepare for and call with Receiver re: update on case status, obtaining a consolidated account of all JPMorgan holdings and other current issues with the case	\$ 315.00	0.8	252.00
			Phone call with B. Ryniker and the Receiver to discuss potential tax issues	\$ 650.00	0.2	130.00
			Review and research marketability for certain investments held in JPMorgan accounts	\$ 315.00	1.6	504.00
7/29/2013	GS	Asset Analysis and Recovery	Follow-up research of marketability of certain equity securities held by Amerindo	\$ 315.00	1.1	346.50
7/31/2013	GS	Asset Analysis and Recovery	Follow-up with Counsel to JPMorgan to obtain account information and reopening a consolidated account for the Receiver	\$ 315.00	0.3	94.50
8/1/2013	GS	Asset Analysis and Recovery	Follow-up call with JPMorgan Counsel to obtain account information	\$ 315.00	0.3	94.50
8/2/2013	BR GS	Claims Asset Analysis and Recovery	Review and comment on certain sellable and non-priceable equities held in the JP Morgan accounts.	\$ 315.00	0.7	220.50
			BR Claims	\$ 575.00	0.2	115.00
			Asset Analysis and Recovery	\$ 575.00	0.2	115.00
			Preliminary review of contact list provided by the Receiver and begin to locate addresses and other contact information	\$ 315.00	0.8	252.00
8/5/2013	GS	Case Administration - General	BR Claims	\$ 575.00	0.3	172.50
			Follow-up review of complaints and news articles re: methods used to invest and transfer funds and investors who lost moneys	\$ 315.00	0.6	189.00
			AP Claims	\$ 175.00	4.7	822.50
			Conduct third-party search for contact information for companies and individuals who may have invested in Amerindo to obtain understanding of potential pool of claimants	\$ 315.00	0.9	283.50
8/6/2013	GS	Case Administration - General Asset Analysis and Recovery	Review 2nd amended complaint for movement of funds by different entities	\$ 315.00	0.4	126.00
			Prepare for and calls and discussions w/ the Receiver re: JP Morgan equities, including status of restricted holdings and other outstanding items	\$ 315.00	0.5	157.50
			Calls with JPMorgan re: status of account designation to the Receiver and certain equities held by Amerindo	\$ 315.00	0.5	157.50
			AP Claims	\$ 175.00	4.3	752.50
8/7/2013	GS	Asset Analysis and Recovery	Conduct follow-up third-party search for contact information for companies and individuals who may have invested in Amerindo to obtain understanding of potential pool of claimants	\$ 315.00	0.6	189.00
			Prepare for and call with Counsel at JPMorgan to obtain information on TIN numbers, get Receiver access to accounts, and obtain information on certain investments	\$ 315.00		

Amerindo Investment Advisors, Inc. et. al.
 Employee Time Detail
 July 11, 2013 through December 31, 2013

Date	Initial	Project Category	Explanation of Work Performed	Rate	Time	Fees
		Asset Analysis and Recovery	Correspondence with Receiver and bank's Counsel re: requested information	\$ 575.00	0.3	172.50
	AP	Claims	Conduct follow-up third-party search for contact information for companies and individuals who may have invested in Amerindo to obtain understanding of potential pool of claimants	\$ 175.00	4.2	735.00
8/8/2013	GS	Claims	Research contact list provided by Receiver to obtain mailing addresses	\$ 315.00	1.4	441.00
		Asset Analysis and Recovery	Research certain equities held by JP Morgan accounts in Amerindo accounts	\$ 315.00	0.7	220.50
	AP	Claims	Conduct follow-up third-party search for contact information for companies and individuals who may have invested in Amerindo to obtain understanding of potential pool of claimants	\$ 175.00	3.8	665.00
	CS	Asset Analysis and Recovery	Review case status and next steps for additional analyses to perform, including missing assets, escheated funds and claims process	\$ 225.00	0.6	135.00
8/9/2013	GS	Claims	Continue to research and obtain contact information for potential claimant list provided by Receiver	\$ 315.00	1.3	409.50
			Prepare expanded claims schedule detailing components of the POC and support provided as well as amounts claims	\$ 315.00	2.1	661.50
8/12/2013	BR	Claims	Follow-up on searches for address and investment details	\$ 575.00	0.3	172.50
	BR	Claims	Review and comment on address search and support	\$ 575.00	0.4	230.00
	CS	Claims	Review POC information and prepare for claims register	\$ 575.00	0.6	345.00
			Conduct third-party research of contact information for potential investors provided by the Receiver in Exhibits A to assist in claims noticing purposes	\$ 225.00	1.8	405.00
			Discussions with working group re: case status and procedures for review and analysis of claims	\$ 225.00	0.6	135.00
			Conduct third-party research of contact information for potential investors provided by the Receiver in Exhibits B to assist in claims noticing purposes	\$ 225.00	1.7	382.50
8/13/2013	GS	Claims	Updates to the contact sheet with additional contact info for claims noticing purposes	\$ 315.00	0.4	126.00
		Asset Analysis and Recovery	Review and discussions re: escheated accounts and locating and retrieving funds from those accounts.	\$ 315.00	0.5	157.50
	CS	Claims	Conduct third-party research of contact information for potential investors provided by the Receiver in Exhibits D to assist in claims noticing purposes	\$ 225.00	1.9	427.50
		Asset Analysis and Recovery	Research and discuss procedures for identifying and claiming escheated funds in the name of the Defendants for additional asset recoveries	\$ 225.00	0.9	202.50
			Conduct third-party research of contact information for potential investors provided by the Receiver in Exhibits C to assist in claims noticing purposes	\$ 225.00	2.1	472.50
			Research affiliates, insiders and related parties of Amerindo to identify potential asset transfers and other avoidable actions related to the Defendants	\$ 225.00	1.2	270.00
8/14/2013	GS	Claims	Review POC form and order and review the first POC received to date.	\$ 315.00	0.4	126.00
		Asset Analysis and Recovery	Discussions re: obtaining values for certain equities held in JP Morgan accounts.	\$ 315.00	0.7	220.50
	CS	Claims	Begin research on certain equities.			
			Conduct third-party research of contact information for potential investors provided by the Receiver in Exhibits E to assist in claims noticing purposes	\$ 225.00	2.2	495.00
		Asset Analysis and Recovery	Research various states for potential escheated investments	\$ 225.00	1.1	247.50
			Research potential escheated investments in New York including call with Comptroller office in New York for further information	\$ 225.00	1.8	405.00
			Prepare schedule of potential escheated funds to recover from New York	\$ 225.00	1.1	247.50
			Discussions with working group on procedures to claim escheated funds and prepare draft email to Receiver on procedures to claim funds	\$ 225.00	0.6	135.00
			Research potential escheated investments in California including call with Comptroller office in California for further information	\$ 225.00	1.5	337.50
			Prepare schedule of potential escheated funds to recover from California	\$ 225.00	1.1	247.50
			Research potential escheated investments in Maryland and Delaware including call with Comptroller office for further information. Includes third-party research and discussions with working group on procedures to claim funds	\$ 225.00	1.5	337.50
8/16/2013	GS	Claims	Discussions with Receiver's Counsel re: mailings for POC notices and prepare template	\$ 315.00	0.4	126.00
		Asset Analysis and Recovery	Review and comment on escheated accounts located to date	\$ 315.00	0.4	126.00
			Follow-up emails with JP Morgan Counsel to obtain account information and accessibility to accounts per prior discussions	\$ 315.00	0.3	94.50
8/19/2013	GS	Claims	Follow-up review of proof of claim form and claims received to date	\$ 315.00	1.1	346.50
		Case Administration - General	Follow-up review of case status and court filings	\$ 315.00	0.5	157.50
		Asset Analysis and Recovery	Review and comment on schedule of escheated accounts and steps needed to retrieve the funds	\$ 315.00	0.5	157.50
8/21/2013	GS	Asset Analysis and Recovery	Review escheated funds and accounts identified	\$ 315.00	0.5	157.50
8/22/2013	GS	Claims	Begin to prepare template for claims matrix	\$ 315.00	0.8	252.00
		Asset Analysis and Recovery	Review and revise email to Receiver re: escheated funds/accounts and process to reclaim those potential funds	\$ 315.00	0.7	220.50
			Review of certain equities held by JPMorgan accounts and potential value of restricted equities	\$ 315.00	1.2	378.00

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8/26/2013	CS GS	Asset Analysis and Recovery Asset Analysis and Recovery	Follow up with JP Morgan re: accounts and status of account consolidation and identifying EIN numbers Review email to I. Gazes re: escheated funds Follow-up research into potential escheated accounts and funds of Amerindo and cross-check to various Amerindo entities Prepare and forward summary of potential escheated accounts to the Receiver, including detailed procedures to claim the funds Research potential sale of equitable securities held by JP Morgan and certain other misc accounts	\$ 315.00 \$ 225.00 \$ 315.00 \$ 315.00 \$ 315.00	0.4 0.4 0.7 0.4 0.5	126.00 90.00 220.50 126.00 157.50
8/27/2013	GS	Asset Analysis and Recovery	Follow-up call w/ JP Morgan to obtain TIN numbers	\$ 315.00	0.4	126.00
9/4/2013	GS	Asset Analysis and Recovery	Follow-up with JP Morgan Counsel on requested information for TINs and account status	\$ 315.00	0.6	189.00
9/5/2013	BR GS	Claims Asset Analysis and Recovery Asset Analysis and Recovery	Follow-up on claims register preparation Follow-up on cash issues re: W-9 and TIN Follow-up email to Receiver's Counsel for update on case status and issues with JP Morgan Research certain restricted equities held by JP Morgan accounts and potential marketability of them	\$ 575.00 \$ 575.00 \$ 315.00 \$ 315.00	0.3 0.2 0.5 1.1	172.50 115.00 157.50 346.50
9/6/2013	GS	Asset Analysis and Recovery	Research securities held by the JP Morgan brokerage accounts and determine possible values Prepare for and calls with Receiver and JP Morgan to discuss issues with TINs and account access by the Receiver	\$ 315.00 \$ 315.00	1.4 1.2	441.00 378.00
9/10/2013	BR GS	Asset Analysis and Recovery Asset Analysis and Recovery	Correspondence with Receiver re: cash accounts Research certain equities and marketable securities	\$ 575.00 \$ 315.00	0.4 0.8	230.00 252.00
9/11/2013	GS	Asset Analysis and Recovery	Review certain restricted equities to determine if there is a market	\$ 315.00	0.5	157.50
9/16/2013	GS	Claims	Review and comment on POCs received to date, including missing support requested	\$ 315.00	1.0	315.00
		Case Administration - General	Updates from/to the Receiver re: publications fees	\$ 315.00	0.3	94.50
		Asset Analysis and Recovery	Discussions w/ representative at JP Morgan and the Receiver re: JPMorgan accounts	\$ 315.00	0.3	94.50
9/24/2013	GS	Claims	Coordinate pick-up of documents from Receiver re: POCs received to date to review and analyze and reconcile amounts noted to date from the claims forms. Discussions with Receiver related to claims documents received, next steps in reviewing and evaluating claims support and transfers of several binders of claims documentation.	\$ 315.00	0.6	189.00
	BR	Claims	Discussions with the Receiver re: claims review process	\$ 315.00	0.4	126.00
	CS	Claims	Review of the claims received and related support produced re: potential valuation issues	\$ 575.00	1.3	747.50
	CS	Claims	Initial administration of claims received to date, including electronic retention of information provided by Claimants and scheduling out of claims information	\$ 225.00	2.2	495.00
9/25/2013	GS	Claims	Prepare claims register of claims received to date, including important information on amounts claims, funds received and support provided	\$ 315.00	2.1	661.50
	BR CS	Claims	Assist in preparing binders of all claims and support received by the Receiver to date and prepare claims register of pertinent information	\$ 315.00	1.4	441.00
	BR	Claims	Review of the claims received and register created	\$ 575.00	0.8	460.00
	CS	Claims	Collating and electronic retention of claims #1-5, including updating claims register for pertinent information	\$ 225.00	1.1	247.50
			Reviewed support provided for claims #1-5, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.8	405.00
			Collating and electronic retention of claims #6-10, including updating claims register for pertinent information	\$ 225.00	1.2	270.00
			Reviewed support provided for claims #6-10, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.7	382.50
			Collating and electronic retention of claims #11-15, including updating claims register for pertinent information	\$ 225.00	1.2	270.00
			Reviewed support provided for claims #11-15, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.7	382.50
			Collating and electronic retention of claims #16-20, including updating claims register for pertinent information	\$ 225.00	1.1	247.50
			Reviewed support provided for claims #16-20, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.7	382.50
9/26/2013	GS	Claims	Calls w/ Receiver re: claims and POCs as well as claims register update	\$ 315.00	0.7	220.50
			Updates to the claims register for additional support provided by the claimants as part of the proofs of claims forms (#1-12)	\$ 315.00	2.2	693.00
	CS	Claims	Updates to the claims register for additional support provided by the claimants as part of the proofs of claims forms (#13-27)	\$ 315.00	2.3	724.50
			Collating and electronic retention of claims #21-25, including updating claims register for pertinent information	\$ 225.00	1.3	292.50

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			Reviewed support provided for claims #21-25, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.7	382.50
			Collating and electronic retention of claims #26-30, including updating claims register for pertinent information	\$ 225.00	1.3	292.50
			Reviewed support provided for claims #26-30, including obtaining an understanding of the claim components and validity of the claim	\$ 225.00	1.7	382.50
9/27/2013	GS	Claims	Discuss issues w/ POCs received and updated claims register	\$ 315.00	0.6	189.00
			Begin in-depth review of claims support to verify amounts claimed (#1-15)	\$ 315.00	1.7	535.50
	CS	Claims	Begin in-depth review of claims support to verify amounts claimed (#16-30)	\$ 315.00	1.8	567.00
			Analyze information provided accompanying proof of claims to schedule claim amounts	\$ 225.00	1.6	360.00
			Continue to review proof of claims and schedule information provided for analysis	\$ 225.00	1.9	427.50
9/30/2013	GS	Claims	Revise claims register and note issues raised by the Receiver in their analysis	\$ 225.00	2.4	540.00
			Follow-up review of claims for certain claims amounts per request of Receiver	\$ 315.00	2.2	693.00
			Prepare for and discussion with Counsel re: claims register and review of claims support	\$ 315.00	0.6	189.00
	CS	Claims	Review proof of claims and support provided to identify missing information and support provided for the claimed amounts	\$ 225.00	2.9	652.50
10/1/2013	GS	Claims	Research certain restricted equities and potential sale avenues	\$ 315.00	0.6	189.00
			Revisions to claims register per review of certain timeline support provided with POCs	\$ 315.00	1.2	378.00
			Follow-up review of POCs received to date and support provided to clarify certain principal amounts invested with Amerindo and discussions with Receiver re: same	\$ 315.00	1.6	504.00
10/2/2013	GS	Claims	Prepare for and call with Receiver to discuss POCs and claims support as well as updating the claims register	\$ 315.00	0.8	252.00
			Prepare summary of assets and claims identified to date per the bank statements and POCs received	\$ 315.00	0.4	126.00
			Prepare summary of claims by principal and profits claimed as well as distributions made to claimants	\$ 315.00	2.3	724.50
			Follow-up review of support and timeline of ins/outs of Amerindo investments by claimants.	\$ 315.00	1.2	378.00
	BR	Claims	Review docket and new filings re: scheduling of claims process.	\$ 315.00	0.4	126.00
			Correspondence with Deutsche Bank re: liquidation of restricted stocks	\$ 575.00	0.2	115.00
			Review and comment on the initial claims register	\$ 575.00	0.7	402.50
10/3/2013	ED	Asset Analysis and Recovery	Review listing of, and comment on, additional assets available (ie. escheated funds and found bank accounts)	\$ 695.00	2.4	1,668.00
	GS	Claims	Verify certain claims components including actual investment funds claims vs. non-investment claims	\$ 315.00	0.8	252.00
			Prepare updated claims register with breakdown of principal, profits and distributions made to the claimants who submitted POCs as well as potential marketable assets maintained in Amerindo accounts	\$ 315.00	2.3	724.50
	BR	Claims	Review and comment on the claims and cash update for the Receiver's update to the court and sec	\$ 575.00	0.6	345.00
	CS	Claims	Review follow-up support to certain Proof of Claims forms to update claim amounts scheduled	\$ 225.00	1.6	360.00
			Update claims matrix with information provided by Receiver	\$ 225.00	1.4	315.00
10/4/2013	GS	Claims	Follow-up revisions to claims register for multiple claims in one POC including transfer of funds and equities between several claimants	\$ 315.00	1.3	409.50
			Begin to conduct full POC review for potential claims objections and incomplete POCs	\$ 315.00	1.7	535.50
10/7/2013	GS	Claims	Review in detail certain claims and support provided to determine the ins/outs of funds transferred to Amerindo entities	\$ 315.00	1.8	567.00
			Review issues with claims review procedures and understanding of POC support provided to differentiate between profits and gross investments vs. distributions	\$ 315.00	0.9	283.50
10/8/2013	GS	Claims	Follow-up review of multiple claims received under 1 POC and segregate into multiple individuals	\$ 315.00	0.7	220.50
10/10/2013	BR	Case Administration - General	Various revisions to response to defendants comments about CBIZ	\$ 575.00	1.1	632.50
	GS	Claims	Follow-up with Receiver on claims review and next steps for calculating potential distributions	\$ 315.00	0.3	94.50
10/16/2013	BR	Claims	Review claims matrix and preparation for meeting with Receiver	\$ 575.00	0.7	402.50
10/18/2013	GS	Claims	Review of the Receiver's report to the court re: claims register and process of claims review and distributions	\$ 315.00	0.4	126.00
			Follow-up review of the claims register in anticipation of discussions with Receiver and next steps for objections and calculating potential distributions	\$ 315.00	0.4	126.00

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10/21/2013	GS	Claims	Prepare write up of procedures for claims review per meeting with Receiver, including research into additional potential assets of Amerindo and other distributions issues	\$ 315.00	1.3	409.50
			Prepare for meeting with Receiver to discuss claims received to date, procedures for reviewing and approving claims, procedures for distributions, etc	\$ 315.00	2.2	693.00
		Asset Analysis and Recovery	Meeting at Receiver's office to review and discuss issues re: claims and assets	\$ 315.00	1.9	598.50
			Research potential other assets of Amerindo, including escheated accounts and other funds/affiliates associated with the Debtor's (Funds Inc / Munder)	\$ 315.00	0.8	252.00
	BR	Claims	Preparation for meeting with Receiver re: available assets and questions	\$ 575.00	1.7	977.50
			Meeting with the Receiver re claim and asset issues	\$ 575.00	1.9	1,092.50
10/22/2013	GS	Claims	Prepare workplan of procedures for in depth review of proofs of claims as well as support provided to identify valid claims and claims to potentially object to	\$ 315.00	0.6	189.00
			Review of new claims and support provided by Receiver. Add to claims register and review support provided to determine if the claim is valid.	\$ 315.00	0.7	220.50
			Claims review and setup of individual support folders for Proof of Claims 1-15.	\$ 315.00	1.7	535.50
			Review and comment on support provided to determine claim validity.			
			Claims review and setup of individual support folders for Proof of Claims 16-30.	\$ 315.00	1.8	567.00
			Review and comment on support provided to determine claim validity.			
		Asset Analysis and Recovery	Meeting with manager to discuss review of POCs and support	\$ 315.00	0.5	157.50
			Research various related case filings and associated Amerindo cases, including civil, criminal and bankruptcy filings, for additional information on related entities, individuals, and potential other assets of Amerindo.	\$ 315.00	1.3	409.50
			Prepare a listing of all Amerindo and related entities, as well as potential related parties and other related individuals per review of court filings and public research	\$ 315.00	1.2	378.00
			Follow-up review of potential escheated accounts/funds in the name of Amerindo in various US jurisdictions	\$ 315.00	0.6	189.00
			Prepare procedures listing and summary of potential escheated accounts located for various Amerindo entities and forward summary to Receiver	\$ 315.00	0.6	189.00
	BR	Claims	Review next steps and documents requested by the Receiver	\$ 575.00	0.6	345.00
	RWN	Claims	Meeting with staff to review scope of review of claims	\$ 410.00	0.5	205.00
			Prepare analysis of Proof of Claim documents for claims 1 and 2	\$ 410.00	1.3	533.00
			Prepare analysis of Proof of Claim documents for claims 3 through 5	\$ 410.00	1.4	574.00
	CS	Asset Analysis and Recovery	Review documents for background of case and understanding of claim issues	\$ 410.00	1.0	410.00
			Review Proof of Claims and semi annual reports to find any relevant entities or parties to be included in court documents listing related parties	\$ 225.00	2.2	495.00
			Call with subject expert to find out process to escalate escheated fund claims for recovery payment	\$ 225.00	0.9	202.50
			Created listing of all escheated funds for both New York and California and schedule by submitted party as well as amount	\$ 225.00	2.3	517.50
			Develop processes of claiming escheated funds and information necessary for completed application for retrieval of funds	\$ 225.00	1.9	427.50
			Use third-party research to find additional entities or parties to be included in listing related parties	\$ 225.00	1.3	292.50
			Create instructions and forms for Receiver for New York escheated funds for review and approval	\$ 225.00	2.4	540.00
10/23/2013	GS	Claims	Revisions to the claims matrix/register per discussions with the Receiver and B. Ryniker to fully evaluate support provided for each claim to determine its validity	\$ 315.00	1.3	409.50
			Review claims with multiple claimants and separate into individual claims with their support	\$ 315.00	1.2	378.00
			Prepare individual claims schedules, including timeline of events re: investments with Amerindo	\$ 315.00	2.0	630.00
			Prepare schedules of missing / additional support needed to validate the claim for each POC analysis	\$ 315.00	1.4	441.00
			Discussions with working group on vague documentation / information provided	\$ 315.00	0.4	126.00
		Asset Analysis and Recovery	Update and forward to Receiver the listing of known and 'new' companies, affiliates, investment instruments, and other related parties in preparation of SEC meeting and court hearing	\$ 315.00	1.3	409.50
	BR	Claims	Review and comment on claims and further summary and comments for SEC meeting. Discuss same with Receiver.	\$ 575.00	0.9	517.50
		Asset Analysis and Recovery	Forward Receiver listing of uncovered affiliated companies and potential available assets	\$ 575.00	0.8	460.00
	RWN	Claims	Prepare comments for Proof of Claim documents for claims re: missing documents, outstanding support	\$ 410.00	2.5	1,025.00
10/24/2013	GS	Claims	Meeting with working group to discuss next steps in claims review	\$ 315.00	0.5	157.50
			Finalize draft claims register and support analysis	\$ 315.00	1.9	598.50

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			Prepare for and discussions with Receiver on presentation of claims matrix and individual claim analyses	\$ 315.00	1.2	378.00
			Revisions to individual claims schedules to determine timeline of events and investments in Amerindo entities	\$ 315.00	2.3	724.50
		Asset Analysis and Recovery	Discussions w/ professional re: escheated funds, methods to retrieve funds and additional steps needed. Prepare summary email to Receiver re: proposed next steps and filling out the necessary documentation to apply for the escheated funds.	\$ 315.00	0.9	283.50
			Discussions with working group re: set up of procedures for escheated accounts	\$ 315.00	0.5	157.50
	BR	Claims	Review and comment on claims and further summary and comments for SEC meeting.	\$ 575.00	1.6	920.00
	RWN	Asset Analysis and Recovery	Review escheated asset requests and response	\$ 575.00	0.2	115.00
		Claims	Meeting for status update re: claims review	\$ 410.00	0.5	205.00
			Created an analysis of each proof of claim in a timeline format in order to specify what each claimant provided in their support and amounts they are claiming	\$ 410.00	3.6	1,476.00
			Prepared detailed analysis of cash inflows and outflows including corresponding documentation including bank statements, statements of account, correspondence and cancelled checks for each proof of claim	\$ 410.00	4.9	2,009.00
10/25/2013	GS	Claims	Review and comment on electronic support binder prepared for all claims reviewed and associated support relied upon to determine validity of a claim and address open items in missing support	\$ 315.00	0.4	126.00
	RWN	Claims	For each proof of claim, organize support and review each claim for supporting documentation for the creation of a binder which consolidates all key information to simplify reviewed data by removing unnecessary and invalid support to avoid confusion for the upper management and Receiver review	\$ 410.00	5.3	2,173.00
	CS	Claims	Update Proof of Claim listing for additional Proof of Claims and add to examine support provided	\$ 225.00	1.2	270.00
			Prepared a binder of all proof of claims which only included the key support provided necessary for the valuation of a claims base and attached a summary of each proof of claim as an overall analysis for the support submitted. Provided a copy of the binder for B. Ryniker and I. Gazes	\$ 225.00	4.5	1,012.50
10/28/2013	GS	Claims	Follow-up review of claims support to identify types of instruments used by Amerindo	\$ 315.00	1.9	598.50
			Review and revise claims matrix for additional claim and support provided by Receiver	\$ 315.00	1.4	441.00
	RWN	Claims	Prepare summary of principal and distributions funds claimed	\$ 315.00	1.1	346.50
			Revise summary of Proof of Claims based on documentation reviewed for cash inflows and outflows	\$ 410.00	4.0	1,640.00
10/29/2013	GS	Claims	Review of claims support for the Walsh family members and detailed support to update claims timeline	\$ 315.00	2.3	724.50
10/30/2013	GS	Claims	Review new claims and support provided documents provided by Receiver. Update claims matrix.	\$ 315.00	1.1	346.50
		Asset Analysis and Recovery	Follow-up review escheated account inquiry and analysis	\$ 315.00	1.3	409.50
10/31/2013	CS	Claims	Update files and support for additional Proof of Claim filed	\$ 225.00	0.6	135.00
11/1/2013	GS	Asset Analysis and Recovery	Follow-up on banking issues with JP Morgan and current asset balances	\$ 315.00	0.5	157.50
			Review and discussions with working group and Receiver re: procedures for collecting escheated accounts	\$ 315.00	0.6	189.00
			Follow-up review of summarized procedures and steps to take to reclaim escheated funds to Amerindo, including potential values of the escheated accounts and their source	\$ 315.00	0.6	189.00
			Discuss and begin to prepare Standardized Fund Accounting Report for the Receiver	\$ 315.00	1.4	441.00
11/3/2013	GS	Asset Analysis and Recovery	Prepare Standardized Fund Accounting Report for the month ended September 2013, including end notes and comments on certain activity in post-September 2013 period	\$ 315.00	1.8	567.00
11/4/2013	GS	Claims	In depth review of claims support to prepare questions, comments and requests for additional information and to verify and validate the claims (claims #1-4)	\$ 315.00	3.5	1,102.50
		Asset Analysis and Recovery	Review of claims for claimant Jordan prepare timeline of activity of the claimant per the support provided to identify validity of the claim and prepare follow-up questions/comments on missing or additional information needed.	\$ 315.00	1.3	409.50
			Finalize the Standardized Fund Accounting Report for the period ended September 2013	\$ 315.00	1.1	346.50
11/5/2013	ED	Claims	Review detail and summary schedules for Walsh claims (#7, 12, 13, 23)	\$ 695.00	1.6	1,112.00

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	GS	Claims	Prepare for and meeting with working group to discuss next steps in review of claims, preparing timeline of activity for each claimant, preparing questions and comments on support provided and additional documentation to request.	\$ 315.00	0.8	252.00
			In depth review of claims support to prepare questions, comments and requests for additional information and to verify and validate the claims (claims #5-9)	\$ 315.00	3.1	976.50
			Update claims matrix with proposed agreeable amounts as per the claim vs. support analyzed.	\$ 315.00	1.3	409.50
	BR	Claims	Review claim re: Claim #1	\$ 575.00	0.9	517.50
			Review claim re: Jordan	\$ 575.00	1.2	690.00
	RWN	Claims	Review Proof of Claims 31 and 32 recently received	\$ 410.00	0.8	328.00
			Revise Proof of Claims analysis to update with claim numbers 31 and 32	\$ 410.00	0.8	328.00
	CS	Asset Analysis and Recovery	Complete California escheated funds applications for processing and recovery of assets	\$ 225.00	1.4	315.00
			Review and discuss with staff escheated fund forms for submission	\$ 225.00	1.1	247.50
11/6/2013	GS	Claims	In depth review of claims support to prepare questions, comments and requests for additional information and to verify and validate the claims (claims #10-16)	\$ 315.00	3.7	1,165.50
			Prepare timeline of asset values of the various investment instruments to evaluate treatment of same investment claims	\$ 315.00	1.8	567.00
	BR	Claims	Review claim re: matrix and details needed for Receiver	\$ 575.00	1.6	920.00
			Revise methodology examples for Receiver	\$ 575.00	0.8	460.00
	RWN	Claims	Review 30 proof of claims and create a timeline of consolidated data to create a summary in order to compare values, information provided, share prices at certain dates, number of shares, etc. It also helps measure consistency throughout Amerindo statements of share prices and to have a better understanding of each claim's fluctuations in the number of shares tracing the sale of shares as well as the purchase of additional shares.	\$ 410.00	8.7	3,567.00
11/7/2013	GS	Claims	Continue to expand timeline of values and rates per the various investment instruments to evaluate treatment of same investment claims	\$ 315.00	1.4	441.00
			In depth review of claims support to prepare questions, comments and requests for additional information and to verify and validate the claims (claims #17-22)	\$ 315.00	4.3	1,354.50
	BR	Asset Analysis and Recovery	Review requests for escheated assets	\$ 575.00	0.2	115.00
	RH	Claims	Revise timeline analysis and proofs of claims detail analysis per proof of claims# 16, 17 and 21 detail and supports.	\$ 215.00	1.2	258.00
	RWN	Claims	Revise proof of claim analysis for all documents reviewed and revised assumptions re: burden of proof	\$ 410.00	3.8	1,558.00
			Review all 30 proof of claims and prepare questions for additional documents and support needed to validate the initial investment made by the claimant including bank statements, wire confirmations, cleared check, and letters or statements provided by Amerindo	\$ 410.00	5.8	2,378.00
	CS	Claims	Create questions for claimants for Receiver to request relating to missing support compared to what support was stated on the proof of claim	\$ 410.00	2.4	984.00
			Analyze and review claim numbers 18-22 to organize information provided to validate claims and create questions for the claimant in order to value Proof of Claim and settlement	\$ 225.00	2.2	495.00
11/8/2013	GS	Claims	Update claims matrix for comments on the support provided and validity of each claim submitted to date	\$ 315.00	1.7	535.50
			In depth review of claims support to prepare questions, comments and requests for additional information and to verify and validate the claims (claims #23-28)	\$ 315.00	3.9	1,228.50
	CS	Claims	Analyze and review claim 23 to organize information provided to validate claims and create questions for the claimant in order to value Proof of Claim and settlement	\$ 225.00	1.1	247.50
			Prepare questions related to claim number 26 regarding the validity of the claim and needed support	\$ 225.00	0.9	202.50
			Analyze claim 30 to review information provided to create a list of necessary documentation needed from claimant	\$ 225.00	1.2	270.00
			Add questions and comments for each proof of claim based upon the support provided as well as format the analysis in preparation of review by the Receiver based on revisions from B. Ryniker	\$ 225.00	3.3	742.50
11/11/2013	GS	Claims	Prepare write-up of methodologies for claims review, analysis and potential distributions to claimants	\$ 315.00	1.9	598.50
			Review of claim and support of Angela Jordan per request of Counsel and prepare follow-up questions for additional support and claim information	\$ 315.00	1.7	535.50
			Follow-up review and consolidate changes pursuant to review of individual POCs and their support	\$ 315.00	2.2	693.00

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	RWN	Claims	Update timeline to include the number of shares stated on supporting documentation in order to quantify the value of the stock per statement in comparison of all other Proof of Claims for all claims related to ATGF	\$ 410.00	3.6	1,476.00
11/12/2013	GS	Claims	Review and comment on timeline of the various investment instruments' values and guaranteed rates	\$ 315.00	0.7	220.50
	BR	Claims	Working group discussion on certain open items in review of POCs and support and updating claims summary schedules	\$ 315.00	1.6	504.00
	CS	Claims	Review and comment on summary of process and potential scenarios Review schedule of interest rates and share values	\$ 575.00 \$ 575.00	0.8 1.2	460.00 690.00
11/13/2013	ED GS	Claims Claims Asset Analysis and Recovery	Consolidate timeline of investment instruments of both ATGF and deposit accounts in order to have a common value base when values are converted to shares to quantify the initial investment value Review detail and summary schedules for Mayer claim (#4) Review and discuss new claim received and impact on claims pool Discussions with Receiver re: financial status update of the fund's assets and claims	\$ 225.00 \$ 695.00 \$ 315.00	3.6 1.4 0.5	810.00 973.00 126.00 157.50
	BR	Case Administration - General Asset Analysis and Recovery	Review recent bank documents and monthly/quarterly statements to update available asset base and components Prepare updated analysis of available and other potential assets maintained by the Receiver	\$ 315.00	0.7	220.50
	RWN	Claims	Discussion with Receiver re: update to court Review asset and claim summary Revise timeline for #29 for transferred assets and effect on claim Extract GFRDA statements for Director for terms noted and guarantees made Revise Proof of Claims analysis for terms noted on statements for documentation purposes	\$ 575.00 \$ 575.00 \$ 410.00 \$ 410.00 \$ 410.00	0.1 0.7 1.9 1.0 1.2	57.50 402.50 779.00 410.00 492.00
11/14/2013	CS GS	Claims Asset Analysis and Recovery	Update binder with new analysis and break out for each Proof of Claim Revisions and updates to the summary of assets and claims per follow-up information, including other assets and updated claims	\$ 225.00 \$ 315.00	1.3 0.5	292.50 157.50
	BR	Asset Analysis and Recovery	Numerous discussions with Receiver re: updated summary for the court Preparation of update for the court re: roll forward of cash, securities and claims	\$ 575.00 \$ 575.00	1.1 1.6	632.50 920.00
11/15/2013	GS	Claims	Prepare updates to timeline of asset values and guaranteed fixed rate investment instruments. Prepare follow-up questions on certain other investment tools noted on the claimant's POCs and support provided.	\$ 315.00	1.0	315.00
11/18/2013	GS	Claims	In-depth review of certain claims to understand multiple investment components for the claimants, including claim #7, 12, 13, 16, 23, 29 Review issues of claims support for missing share amounts and interest calculations / rates guaranteed and impact on calculation claims pool	\$ 315.00 \$ 315.00	2.6 0.9	819.00 283.50
11/19/2013	GS	Claims Asset Analysis and Recovery	Prepare for call with Receiver, including follow-up review of different investment instruments used by Amerindo and aggregate investments in the various investments Call with Receiver to discuss case status, claims review and issues with support provided, distribution methodologies, other potential recoveries, etc.	\$ 315.00 \$ 315.00	1.1 0.6	346.50 189.00
	BR	Claims	Prepare updated asset schedule, including other potential assets not in JP Morgan (escheated, Bahamas, retirement benefit fund, etc)	\$ 315.00	0.7	220.50
	CS	Asset Analysis and Recovery Asset Analysis and Recovery	Review claims analysis to date and prep for call with Receiver Call with Receiver re: claims analysis Follow-up on potential tax consequences re: transfer of restricted stocks Review statements provided and schedule Bear Stearns bank account 1490 for 1998-1999 and classify each account activity by cash, securities, and equity	\$ 575.00 \$ 575.00 \$ 575.00 \$ 225.00	1.5 0.4 0.3 0.9	862.50 230.00 172.50 202.50
	BR	Claims	Schedule US Trust bank account 0800 for June 1999-March 2004 and classify each account activity by cash, bonds, common stock and income Review US Trust bank account 0800 for September 1998-April 2008 and classify total activity by activity, interest, dividends, fees and additions/disbursements	\$ 225.00 \$ 225.00	1.4 0.4	315.00 90.00
	CS	Asset Analysis and Recovery	Schedule Bear Stearns bank account from September-December 1998 for securities activity and schedule net cash activity Schedule Bear Stearns bank account 1495 for July-December 2006 and classify each account activity by cash, interest, and equity	\$ 225.00 \$ 225.00	0.4 0.6	90.00 135.00
	GS	Claims	Finalize escheated funds listing, support to provide and other required documentation to forward to Receiver for filing Review different methodologies to use in computing claims pools and potential distributions	\$ 225.00 \$ 315.00	1.3 0.8	292.50 252.00
11/20/2013	GS	Claims	Prepare notes to discuss with Receiver in our scheduled meeting re: claims Prepare for meeting with Receiver and analyses completed to date Calculate potential pool of ATGF claimants using share allocations	\$ 315.00 \$ 315.00 \$ 315.00	0.6 0.7 1.3	189.00 220.50 409.50
	BR	Claims	Review and comment on claims	\$ 575.00	1.8	1,035.00

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Date	Initial	Project Category	Explanation of Work Performed	Rate	Time	Fees
11/21/2013	GS	Claims	Prepare timeline of GFRDA investments and review issues with mismatched information and additional clarification to request from claimants	\$ 315.00	1.8	567.00
			Prepare updated email to Receiver re: request list for JP Morgan, case update, and next steps re: revised methodologies for claims pool calculations	\$ 315.00	1.1	346.50
			Prepare work-plan and tasks to complete per discussions with working group and Receiver on next steps	\$ 315.00	0.6	189.00
			Prepare timeline of NAVs and shares for ATGF per all support provided for each claimant	\$ 315.00	2.3	724.50
			Prepare for meeting with Receiver re: the claims support, distribution methodologies, etc	\$ 315.00	1.4	441.00
			Meeting with the Receiver's re: the claims support, distribution methodologies, other recoverable assets, methodologies to calculating claims pools, etc.	\$ 315.00	3.1	976.50
11/22/2013	BR	Claims	Meeting with Receiver re: claims and asset base	\$ 575.00	3.1	1,782.50
	CS	Claims	Preparation for meeting with Receiver re: review of claims	\$ 575.00	1.4	805.00
	GS	Claims	Assist staff re: preparation for meeting with Receiver	\$ 225.00	1.4	315.00
			Prepare and forward email to Receiver on methodologies discussed re: claims pool and distributions	\$ 315.00	0.5	157.50
			Update timeline of ATGF values and shares at different points in time for each of the claimants	\$ 315.00	1.1	346.50
			Follow-up review of claims support for timeline of activity and noting support provided (or lack of support)	\$ 315.00	1.3	409.50
			Discussions with working group on next steps and updates to POC analyses for support of contributions vs. statement balances	\$ 315.00	0.4	126.00
			Review Bear Sterns statements to evaluate changes and movement of assets and other funds	\$ 315.00	0.7	220.50
	RWN	Asset Analysis and Recovery	Review other bank statements re: ATFG I vs. ATGF II activity	\$ 315.00	0.5	157.50
			Prepare memo on US Trust bank statements in the name of Amerindo	\$ 410.00	0.9	369.00
			Review US Trust bank statements in the name of Amerindo	\$ 410.00	2.1	861.00
	CS	Claims	Create timeline of shares prices for each date noted in the claims support for claims 1- 15	\$ 225.00	1.8	405.00
			Create timeline of shares prices for each date noted in the claims support for claims 16-30	\$ 225.00	1.7	382.50
11/24/2013	GS	Claims	Prepare binder of Proof of Claims and accompany support for each claim	\$ 225.00	0.9	202.50
			Reconcile certain shares and interest rates to the claims forms to evaluate ending balances as of May 2005	\$ 315.00	1.1	346.50
			Begin to analyze and convert fixed investments into shares based on the NAVs available	\$ 315.00	1.6	504.00
			Review and calculate certain interest payments to claimants and compare to claimed amounts.	\$ 315.00	1.3	409.50
11/25/2013	ED	Claims	Review and comment on summary of total claims and variances calculated of claim values as of May 25, 2005	\$ 695.00	1.3	903.50
	GS	Claims	Update of analyses to date to Director to review, including updated timeline, updated analysis re: bank statement review, investor flow of funds comparative, estimated interest calculations, share and principal conversions, etc.	\$ 315.00	1.6	504.00
			Review all claims for contribution support vs. statement of account balances	\$ 315.00	2.3	724.50
			Working meetings with staff to discuss changes to claims review and POC support to identify principal funds invested	\$ 315.00	0.3	94.50
			Review and separate fixed investments vs. equity portfolios to determine different methods of calculating claims pools	\$ 315.00	0.5	157.50
			Review issues re: distributions of funds to claimants using different methodologies of claims pool calculations	\$ 315.00	0.7	220.50
			Review and comment on SEC documents provided by Receiver and compare to claims analysis to date	\$ 315.00	0.4	126.00
	RWN	Asset Analysis and Recovery	Compare available information from SEC to claims support provided to date	\$ 315.00	1.7	535.50
			Recap US Trust bank accounts and assets transfers	\$ 410.00	0.6	246.00
			Review Bear Sterns accounts for significant dates and changes account balances, including transfers to other Amerindo accounts	\$ 410.00	2.7	1,107.00
	CS	Claims	For each of the 32 proofs of claims, reviewed each in order to identify whether amounts included in the claim were supported by contribution notices (i.e. wire transfers, letters stating amounts were invested) or statements of account created and distributed by Amerindo in order to measure the validity and consistency of the Amerindo statements compared to any other third party valuations in anticipation of detecting fraud, favoritism or inconsistencies	\$ 225.00	7.7	1,732.50
11/26/2013	ED	Claims	Discuss with working group re: revisions to shares pricing listed on ATGF spreadsheet and finalize analysis of values	\$ 225.00	1.3	292.50
			Review detail and summary schedules for Jordan claim (#25)	\$ 695.00	0.8	556.00

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	GS	Claims	Continue with full review of claims to determine contributions support vs. statement balances	\$ 315.00	1.1	346.50
			Continue with calculation of interest amounts for fixed investments vs. equity investments	\$ 315.00	1.5	472.50
			Review effect of share conversions vs. principal funds invested	\$ 315.00	0.8	252.00
11/27/2013	GS	Claims	Updates to analysis of interest bearing claims and interest calculations, as well as share conversions	\$ 315.00	1.5	472.50
12/2/2013	BR	Claims	Review work product and claims documents	\$ 575.00	0.9	517.50
12/3/2013	GS	Claims	Prepare for and meeting with Director to discuss next steps to identify and determine the claimants who invested in fixed instruments and impact on claims pool	\$ 315.00	1.4	441.00
			Prepare write-up of revised methodologies used in evaluating fixed instrument and equity instrument investors and effect on evaluation claims pool as well as determining distribution methods	\$ 315.00	1.6	504.00
12/4/2013	GS	Claims	Review all claims support to update the timeline of NAVs noted in for each claimant review difference in quoted NAVs to different claimants as of the same point in time	\$ 315.00	1.4	441.00
			In-depth review of support provided for claimants who invested in the fixed income instrument to prepare calculation of accrued and owed interest per documents provided to date	\$ 315.00	2.2	693.00
12/5/2013	BR	Claims	Review and comment on preliminary calculations and next steps	\$ 575.00	1.1	632.50
	GS	Claims	Prepare individual analyses of interest calculations and conversions to shares and share prices based on available NAV values for each of the claimants who invested in a fixed instrument	\$ 315.00	3.8	1,197.00
			In-depth review of each of the claimant's support to prepare timeline of activity and investments into the various instruments to create global claims pool based on investments into equity instrument	\$ 315.00	4.4	1,386.00
			Prepare comparative analyses per the claimants calculations to the interest calculations and fluctuations in NAVs per the support provided	\$ 315.00	3.1	976.50
12/6/2013	BR	Claims	Review and comment on he bank statements received	\$ 575.00	0.3	172.50
12/9/2013	BR	Claims	Issues with claims analysis and concerns regarding missing information	\$ 575.00	0.9	517.50
12/10/2013	GS	Claims	Discussions and review of re: work product to provide with summary analysis of multiple methods of calculating claims pools and distribution pools	\$ 315.00	1.1	346.50
		Asset Analysis and Recovery	Emails to/from Trustee Counsel and JP Morgan re: bank statement availability and updating asset analysis	\$ 315.00	0.6	189.00
12/11/2013	BR	Claims	Review claims calculation and analysis	\$ 575.00	0.4	230.00
	GS	Claims	Updates and revisions to individual claim analyses for fixed income investors and effect of conversion to ATGF shares	\$ 315.00	2.6	819.00
		Asset Analysis and Recovery	Emails and calls with representatives from JP Morgan re: request of historical banking information and TINs for accounts	\$ 315.00	0.6	189.00
			Review and update request list for historical banking information and account and asset transfers	\$ 315.00	0.6	189.00
			Review updates re: additional assets to be recovered and current assets maintained in the fund	\$ 315.00	0.5	157.50
12/12/2013	GS	Claims	Additional review of claimants with multiple instruments and conversions/transfers conducted by AIA	\$ 315.00	1.2	378.00
			Review and comment on new claim submitted to Receiver and support provided	\$ 315.00	0.6	189.00
			Update summary analyses with comparative interest amounts	\$ 315.00	0.6	189.00
			Updates to two additional claimants with multiple investment instruments and calculations of interest amounts as compared to claimants calculations and support	\$ 315.00	2.6	819.00
12/13/2013	GS	Claims	Discussions with staff to prepare comparative summary analysis of ATGF and GFRDA claimants and their claims	\$ 315.00	0.3	94.50
			Updates to claims summary with new claimants details. Updates to GFRDA summary analysis. Updates to ATGF summary analysis per discussions with Director	\$ 315.00	1.5	472.50
	BR	Claims	Detailed review of various calculations of the claims base re: tech, interest and combination	\$ 575.00	2.4	1,380.00
	CS	Claims	Calculate the comparative value of both ATGF and Deposit account funds closest to May 2005 in preparation of call with Receiver	\$ 225.00	2.9	652.50
			Discuss with B. Ryniker re: consolidation of claims and calculating the comparative value of both ATGF and Deposit account funds closest to May 2005	\$ 225.00	0.4	90.00
12/16/2013	GS	Claims	Prepare for and call with Trustee to discuss claims process, potential distribution calculation and asset pools	\$ 315.00	0.8	252.00
	BR	Claims	Preparation for call with Receiver re: claims review and various calculations	\$ 575.00	1.4	805.00
			Discussion with Receiver re: claim review and comparison	\$ 575.00	0.9	517.50
		Asset Analysis and Recovery	Follow-up on information and document request from JP Morgan	\$ 575.00	0.6	345.00

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12/17/2013	GS	Claims	Working group meeting to discuss next steps in calculating claims basis with different methodologies and assumptions to make, as well as additional review of support to update timeline of events	\$ 315.00	0.5	157.50
			Update individual claims analyses for full timeline of events and understanding of transfers of ownership, redemptions, sales and repurchases, interest calculations and changes in interest rates, and effect of all in calculating claims pool	\$ 315.00	1.7	535.50
			Update fixed investment instrument analyses for such claimants and updates to interest calculations to compare to available support and claimant's own calculations	\$ 315.00	2.1	661.50
12/18/2013	BR GS	Claims	Review and revise update for the Receiver re: banking issues and claims	\$ 575.00	1.1	632.50
			Prepare detailed subcomponent schedules of all claimant's data per the POCs	\$ 315.00	0.4	126.00
			Prepare detailed subcomponent schedules of calculations of ATGF claims	\$ 315.00	0.6	189.00
			Prepare detailed subcomponent schedules of recalculations of ATGF claims through May 2005	\$ 315.00	0.4	126.00
			Prepare detailed subcomponent schedules of GFRDA investments and interest calculations	\$ 315.00	0.6	189.00
			Prepare detailed subcomponent schedules of recalculations of GFRDA claims to ATGF shares	\$ 315.00	0.4	126.00
			Prepare detailed subcomponent schedules of aggregate investment values per recalculations	\$ 315.00	0.6	189.00
			Review and update all sub-schedules to tie to summary	\$ 315.00	0.8	252.00
			Provide additional comments on assumptions used in recalculations of interest and AGTF share values through May 2005	\$ 315.00	1.6	504.00
12/19/2013	BR GS	Asset Analysis and Recovery	Prepare updated summary schedules for various calculations	\$ 315.00	0.9	283.50
		Claims	Follow-up on banking and fund report preparation	\$ 575.00	0.3	172.50
			Review and revise all interest calculations based on available support and changes in values of the fixed instrument claims	\$ 315.00	1.1	346.50
			Review and recalculate redemptions and additional purchases for GFRDA claimants as compared to POC claimed amounts	\$ 315.00	0.7	220.50
			Update summary of all claims per the POCs, support provided and recalculations of investments	\$ 315.00	0.9	283.50
12/23/2013	BR GS	Claims	review and test calculation of potential claim base re: conversion to tech fund	\$ 575.00	2.1	1,207.50
			Emails and discussions with Receiver and Counsel re: next steps to calculate and evaluate claims	\$ 315.00	0.4	126.00
			Follow-up review of claims for investments into GFRDA and support provided to evaluate treatment of interest in calculating claims pool	\$ 315.00	1.7	535.50
			Working meeting with Director to discuss methodologies of calculating and converting GFRDA investments	\$ 315.00	0.7	220.50
			Review of other investment types to evaluate treatments into claims pool	\$ 315.00	1.2	378.00
			Review and revise interest calculations per claimant's support and claims as noted, including changes in interest rates and guaranteed return periods	\$ 315.00	1.7	535.50
			Working meeting and discussions with Director to go over claims analysis, support and issues noted. Revise and add questions to discuss with claimants	\$ 315.00	1.5	472.50
			Revision to summary schedules of claims analytics to evaluate principals invested, profits and distributions as well as support provided	\$ 315.00	1.2	378.00
12/26/2013	GS	Claims	Revise individual claims analyses, calculating claims using multiple methodologies	\$ 315.00	2.6	819.00
			Revise conversions of interest and GFRDA to ATGF shares	\$ 315.00	1.2	378.00
			Update summary schedule re: revised individual claims	\$ 315.00	1.2	378.00
12/27/2013	GS	Claims	Update to timelines of claims re: revised individual claims	\$ 315.00	1.3	409.50
			Revise individual claims analyses, calculating claims using multiple methodologies	\$ 315.00	2.9	913.50
			Revise conversions of interest and GFRDA to ATGF shares	\$ 315.00	1.2	378.00
			Revise summary schedule re: revised individual claims	\$ 315.00	1.4	441.00
			Revise timelines of claims re: revised individual claims	\$ 315.00	1.4	441.00
12/29/2013	BR GS	Claims	Review claims support to prepare follow-up requests for each claimant	\$ 575.00	7.4	4,255.00
			Revisions to sub-schedules of individual claims, timelines, evaluation of support provided, recalculation of claim amounts and variances noted in claims noted	\$ 315.00	2.1	661.50
12/30/2013	GS	Claims	Revise the individual POC analyses for each claimant for most current account balances closest to May 2005	\$ 315.00	1.7	535.50
			Working meeting with B Ryniker to go over each individual claim support and timeline analysis to determine claims base to allow	\$ 315.00	2.1	661.50
			Compare contributions analysis to account statement balances and missing support to request	\$ 315.00	0.6	189.00
			Determine differences in methodologies of calculating claims base through May 2005	\$ 315.00	1.3	409.50
			Prepare notes, comments and assumptions used in the difference claims evaluation methodologies	\$ 315.00	0.9	283.50

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Date	Initial	Project Category	Explanation of Work Performed	Rate	Time	Fees		
12/31/2013	BR GS	Claims Claims	Revise individual claims support timelines and update with additional questions to follow-up with the claimants	\$ 315.00	1.6	504.00		
			Revise the interest calculations based on claimants' affidavits and differences noted per account statement provided	\$ 315.00	1.9	598.50		
			Review claims support to prepare follow-up requests for each claimant	\$ 575.00	7.1	4,082.50		
			Evaluate claims per support provided re: different methodologies of claims calculations	\$ 315.00	1.4	441.00		
			Revise and update summary analysis as well as individual claims analyses	\$ 315.00	2.4	756.00		
				\$ 605.2	\$ 203,506.50			
Voluntary Reduction per discussions with SEC:								
7/18/2013	GS	Case Administration - General	Prepare draft engagement letter to the Receiver	\$ 315.00	1.1	\$ 346.50		
7/18/2013	AP	Case Administration - General	Prepare template for the engagement letter as well as follow-up revisions per discussions with B. Ryniker	\$ 175.00	1.6	280.00		
7/23/2013	GS	Case Administration - General	Prepare updates to the draft engagement letter per discussions with the Receiver	\$ 315.00	1.1	346.50		
7/23/2013	AP	Case Administration - General	Assist with preparation of retention documents. Prepare revisions per discussions with working group and comments from Receiver.	\$ 175.00	1.2	210.00		
7/24/2013	GS	Case Administration - General	Prepare updates to the draft engagement letter and forward to the Receiver for review and comments	\$ 315.00	0.5	157.50		
8/1/2013	GS	Case Administration - General	Updates to the engagement letter per comments from Receiver	\$ 315.00	0.4	126.00		
8/5/2013	GS	Case Administration - General	Revisions to engagement letter per request of Receiver and SEC's comments	\$ 315.00	0.6	189.00		
8/7/2013	GS	Case Administration - General	Update engagement letter per discussions with Receiver	\$ 315.00	0.3	94.50		
8/7/2013	GS	Asset Analysis and Recovery	Prepare for and call with Receiver re: engagement letter, bank account structure and obtaining TIN numbers and general case status	\$ 315.00	0.7	220.50		
8/7/2013	BR	Case Administration - General	Revisions to the engagement letter	\$ 575.00	0.6	345.00		
8/9/2013	BR	Case Administration - General	Follow-up revisions to the engagement letter	\$ 575.00	0.9	517.50		
8/12/2013	GS	Claims	Finalize listing of contacts and their contact information retrieved from various sources to provide to Receiver for mailing and noticing purposes. Prepare and forward email to Receiver with procedures and results for the contact listing.	\$ 315.00	1.8	567.00		
8/12/2013	GS	Asset Analysis and Recovery	Prepare for and email and calls with Counsel to JP Morgan to discuss obtaining access to accounts held by Amerindo and documents needed	\$ 315.00	0.6	189.00		
9/5/2013	GS	Case Administration - General	Review and reconciliation of fees to date	\$ 315.00	0.5	157.50		
9/27/2013	ED	Case Administration - General	Update engagement letter and teleconference with Receiver and staff re: same	\$ 695.00	0.8	556.00		
9/27/2013	GS	Case Administration - General	Review and discuss issues re: engagement letter w/ Receiver and Esther	\$ 315.00	0.7	220.50		
10/8/2013	GS	Case Administration - General	Review dockets and recent filings from Defendants and issues with Receiver's plans for the estate. Discussions with Receiver re: CBIZ engagement papers.	\$ 315.00	1.1	346.50		
10/9/2013	ED	Case Administration - General	Amerindo retention issues including discussions with general Counsel, staff and Receiver, review/revise and sign affidavit	\$ 695.00	2.5	1,737.50		
10/9/2013	GS	Case Administration - General	Multiple discussions with the Receiver re: CBIZ engagement papers	\$ 315.00	0.6	189.00		
10/9/2013	GS	Case Administration - General	Prepare response letter to Defendants letter requesting a conference with the Receiver and discussing CBIZ engagement	\$ 315.00	1.1	346.50		
10/9/2013	BR	Claims	Discussion with the Receiver re: response to defendants	\$ 575.00	0.5	287.50		
10/9/2013	BR	Case Administration - General	Various revisions to response to defendants comments about CBIZ	\$ 575.00	1.8	1,035.00		
				\$ 21.0	\$ 8,465.00			
				\$ 626.2	\$ 211,971.50			

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Work Date	Expense Type	Detail	Total
10/30/2013	Messenger	Messenger service to deliver claims documents to Receiver	\$ 124.83
	Messenger Total		<u>124.83</u>
	Grand Total		<u>\$ 124.83</u>